

Treasurer's Report  
On the Matter of the Fate of the Cash Receipts  
From the WBAI Off-Air Fundraiser  
“Reframing 9/11: Alternatives To Endless War  
We Must Connect The Dots”  
Held September 11 to 14, 2003, at  
The Riverside Church & Cathedral of Saint John the  
Divine, New York, New York  
Report issued November 29, 2009

WBAI held an off-air fundraiser titled “Reframing 9/11: Alternatives To Endless War We Must Connect The Dots” from September 11<sup>th</sup> to 14<sup>th</sup>, 2003, at The Riverside Church & Cathedral of Saint John the Divine in Manhattan.

On the last day of this event WBAI Staff witnessed Program Director Bernard White taking the cash receipts from the event and handing them to someone who was not connected with the radio station.

This raised questions among Staff and, as word of this action spread, among listeners as well.

On January 22<sup>nd</sup>, 2004, there was a meeting of the Budget Committee at WBAI. Attending this meeting were General Manager Don Rojas, Program Director Bernard White, Business Manager Indra Hardat and Unpaid Staff producers Sandy Boyer and Susan Lee.

Towards the end of that meeting the following exchange took place<sup>1</sup>:

“Susan: There has not been an accounting of the 9/11 event at Riverside Church.  
Why not?

Don: The 9/11 event planning and execution turned out to be problematic. The station put out \$12-13,000. The intention was for premiums to pay back the cost.

Susan asked what the income was for the event? It didn't make sense to her that 3 days of events with hundreds of people per day at \$15 per person didn't bring in enough to cover the costs. Where did the money go?

Don: 'I've asked the same question.'

Sandy: Was money taken in cash?

Don: How much cash we collected: we don't know.”

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<sup>1</sup> Appendix item - #1, published with the permission of Ms. Lee.

There were still open questions regarding the taking of the cash receipts by the Program Director when the WBAI LSB met beginning on February 19, 2004.

At the WBAI LSB meeting of March 4, 2004, There was an executive session held after the public session of the LSB meeting<sup>2</sup>. Treasurer Paul Surovell presented a Treasurer's Report titled "REPORT TO WBAI LOCAL STATION BOARD on money raised at 9/11 – Riverside Church Conference."<sup>3</sup>

The report included a memo dated that day which Mr. Surovell had given to Program Director Bernard White<sup>4</sup>. In the memo Mr. Surovell asked if WBAI had been reimbursed the \$2,205 in cash which Bernard White had given to a Ms. Janine L. Collette from the cash receipts of the Riverside 9/11 event. The memo asked some other questions and asked for a reply by March 18, 2004.

The Treasurer's Report also included a memo from Bernard White to WBAI General Manager Don Rojas dated September 17, 2003<sup>5</sup>. Mr. White said that, "our planning for the memorial," had fallen through the cracks. The memorial was for the late James V. Montgomery, a friend of the Program Director. It is not clear if the plural in this memo means that General Manager Rojas had approved of a plan to give a check from WBAI to cover the costs of that memorial. The legality of WBAI lending money to people is questionable.

In that memo Mr. White says that he, "Gave Mrs. Montgomery cash from the proceeds of 911," meaning the cash receipts from the fund raiser which he'd been seen giving her. Mrs. Montgomery's name is Janine L. Collette.

Included in the Treasurer's Report is another memo from Program Director Bernard White to General Manager Don Rojas which is also dated September 17, 2003<sup>6</sup>, which includes a budget for the memorial, In this memo Mr. White says, "To facilitate this matter, I have deducted for [sic] the proceeds of this weekends 911 forum an amount that covers the cost of the memorial which will all be reimbursed within a two or three week period." The memo ends with, "This document also serves as a receipt," and it's signed by Ms. Collette.

At the March 4, 2004, LSB executive session meeting Don Rojas did not clearly indicate that having WBAI pay for this memorial had been a plan that he had approved.

It is also noteworthy that Mr. Rojas had gone into the hospital for stomach surgery in late August and did not return to work at WBAI until the end of September. Mr. Rojas was not present on September 17, to receive any memos and there is a question as to the actual date when the memos dated September 17, 2003, were written. What is certain is the the General Manager was not at the station to receive them.

Mr. Surovell's Treasurer's Report of March 4, 2004, ended with a document titled, "A report on money remitted to WBAI from tickets sold at the door and book sales at the Reframing 911 - Alternatives To Endless War Forum 2003<sup>7</sup>."

At the executive session meeting a couple of members said that they didn't want to do anything about the Treasurer's Report because they did not want to send a black man to jail.

The Treasurer said he would let the LSB know what the answers were to the questions that had been raised.

Subsequently Mr. Surovell gave the LSB members more documents, including a memo dated March 11, 2004, Subject: Response to Memo of March 4, 2004<sup>8</sup>.

In this memo Mr. Surovell recounts a meeting he had with Mr. White and his assistant on March 5, 2004<sup>9</sup>. As of that date WBAI had not been reimbursed for the cash receipts that Mr. White had taken from the off-air fundraiser. Mr. White told Mr. Surovell that the plan was for WBAI to be reimbursed by the New York State Crime Victims Board.

Included with that memo were several documents including faxes to Bernard White from Ms. Collette<sup>10</sup>. Among these documents are receipts and invoices for the memorial service and an application for reimbursement from the New York State Crime Victims Compensation Board, letters to officials and a memo to

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2 Appendix item - #2, Minutes of the executive session of the March 4, 2004, LSB meeting.

3 Appendix item - #3

4 Appendix item - #4

5 Appendix item - #5

6 Appendix item - #6

7 Appendix item - #7

8 Appendix item - #8

9 Mr. Surovell misstates the date of the LSB meeting in this memo. It was March 4, not March 3.

10 Appendix items - #9 through #22. Ms. Collette's fax machine appears to have stamped the wrong date on the documents.

Mr. White from Ms. Collette dated October 6, 2003, Re: Memorial Service – Reconciliation of Accounts.

Copies of this March 11, memo were sent to the Pacifica National Board (PNB), the Executive Director of Pacifica, the Chief Financial Officer of Pacifica and the WBAI General Manager.

It should be noted that Ms. Collette moved to another state years ago, so no personal information about where she lives now is contained in any of these documents.

In May 2004, there was an executive session of the WBAI LSB Finance Committee held at Mr. Surovell's mother's apartment in Manhattan. Attending that meeting were Mr. Surovell, Kathy Goldman, Bob Lederer, Cerene Roberts, Stephen Brown and myself. At that meeting Mr. Surovell told us that the money that had been taken from the off-air fundraiser in September 2003, had been paid back to WBAI. He showed us a photocopy of a check. Unfortunately we didn't have anything to compare it to at the time. I asked for a copy of the check, but I never got a copy.

Questions were raised about some of the expenses paid for at Riverside 9/11 fundraiser and at the memorial. We were also told that the reproduction of the video of the fundraiser had to be done twice because the first time it had been botched.

LSB member Luanne Pennesi had asked Mr. Surovell a number of questions via E-mail. Mr. Surovell responded in writing<sup>11</sup>.

Mr. Surovell said that he'd submitted to the PNB the entire matter of the cash receipts having been taken from the WBAI fundraiser and lent to someone; he indicated that he had not heard of the PNB doing anything about it. He did say that a new policy had been initiated by Pacifica's Chief Financial Officer requiring oversight at off-air fund raisers as a result of this incident.

Mr. Surovell's reference to the video editing and duplication expenses is at variance with what I recall being told about this. Apparently the first person attempting to edit or duplicate the video tapes, and who had charged WBAI for the service, had not done it correctly and it had to be done again at increased, additional cost. It should be noted that the video equipment used was owned by WBAI.

Mr. Surovell tries to show in one part of that document<sup>12</sup> that the "Reframing 9/11: Alternatives To Endless War We Must Connect The Dots" fund raiser had realized a significant net income for the station. However he included the income from premiums pitched in the total income from that event, that income would properly show up under listener donations during the on-air fundraiser in which the materials generated as a result of the event had been used as premiums.

On November 30, 2004, the WBAI LSB voted to lift the secrecy from the executive session which had been held about this matter on March 4, 2004. This means that the proceedings and content of that meeting can be viewed by the public. As Treasurer I have "declassified" all of the items related to this incident which were previously marked "confidential" by Paul Surovell.

As to the legality of Bernard White taking the cash receipts of the fundraiser and giving them to someone for no purpose related to WBAI I recently called the office of the Manhattan District Attorney. I spoke with a lawyer there and, without revealing anyone's name nor the name of the non-profit institution that held the fundraiser, I asked about the legality of such an act. I was told that what I had described was a case of felony embezzlement.

Submitted by  
R. Paul Martin  
Treasurer, WBAI LSB  
November 29, 2009

This document is digitally signed with a separate file named  
"final\_treasurers\_report\_on\_riverside\_911\_cash\_receipts.pdf.sig."

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11 Appendix items - #23a, 23b & 23c. The original of this document was handed to me printed in landscape mode on an 8½ x 14 sheet of paper in three columns. I have put each column on a separate page for clarity.

12 Appendix item - #23c

## Appendix – item #1

----- Original Message -----

Subject: [WBAIProducers] Budget Commiottee meeting of January 22, 2004

Date: Fri, 23 Jan 2004 17:59:32 -0500

From: Susan Lee <susan-lee@earthlink.net>

Reply-To: WBAIProducers@yahoogroups.com

To: 'wbai producers' <wbaiproducers@yahoogroups.com>

CC: 'Don Rojas' <drojas@wbai.org>

1/22/04 Budget Committee: Don, Indra, Sandy, Bernard, Susan.

Don announced that Valerie Van Isler has lung cancer and is very sick.

Indra: Our cash position to date is \$258,254. Our payables are \$96,530 or \$106,530 (A \$10,000 bill is in dispute). We will be getting \$13,000 of the payables back from national; a private funder has given the money to buy equipment. Thus our payables are \$83,500 to \$93,500.

Don pointed out that in the fourth day of the fundraising drive, we have not yet made a goal of \$50,000 per day.

Sandy asked how fundraising actually gets broken down by time during the day.

Bernard: 50-60% usually occurs by noon. He said that by guaranteeing all the producers coming on, reduces the amount of money raised the first week. As of next week, he thinks it should pick up. Slow now, he's not worried. He says there are some blockbuster premiums coming up.

Don announced that the date of the deadline of layoffs has been deferred to end of February; will meet on 2/11 to review finances and issue of layoffs.

Bernard said we definitely will have to extend the fund drive.

Don said that according to the CPB, there has been a reduction of 7-10% in listener contributions to NPR.

Don: The shopping cart on the website has proven successful up to now. It's down briefly to put in the new premiums and will be up shortly. He urged producers to mention the website.

Susan asked why Wake Up Call's numbers were down so much.

Bernard said he used to pitch it up to noon. Now WUC only pitching from 6-9 am.

Bernard said the pattern we have of a slow start is normal. He expects we will build like normal and reach our goal of 1.1 million dollars.

Don announced that 2 donors anonymous gave \$10,000 towards WBAI's election coverage and \$10,000 for FSRN to cover elections. This money will not be counted towards the fund drive because it is restricted. Restricted grants are not included in fund drives.

Don also announced that WBAI has received a \$5,000 from the Lily Auchincloss Foundation.

Sandy asked if we gross 1 million pledges with a 75% fulfillment, what's the ball park for layoffs?

Don: 25% instead of the projected 50%.



Susan asked if we have a contingency plan about how the station is going to be run in case of layoffs.

Don: We don't have one yet because we don't know percentage of people to be laid off. This will be determined in February. We are not out of the woods yet.

Indra: Fulfillment rate is up. 13 premiums from the last drive are still outstanding - that means the producers have not yet delivered the masters. 30 premiums have been ordered but not shipped. One premium is not able to ship because proper clearance had not been obtained.

Susan said she had questions producers and staff had asked her to bring up at the budget meeting:

Susan: One producer had given \$250 on an installment plan. Only \$30 had been withdrawn. The station had never received \$220 and the producer had never been notified. This producer was concerned that this failure to follow up with the bank was typical.

Indra and Don had checked on this problem and assured us that the problem was an individual glitch in the original entry and was not system wide. They said the electronic transfers are working well.

Susan: What expenses have we cut?

Don: The station has saved \$15,000 in printing costs. Reductions of \$30,000 because of telephone changes will show up over this next year. Verizon has been conferred with. Codes will be changed.

Susan: Non-producers often use the phones on an ongoing basis.

Don assured us that at least two of the people who seem to spend a great time at the station have been told not to use the phones.

Susan: Have you guaranteed anyone that their job is secure?

Don: No.

Don wants to clear up the story that Errol's trip to Guatemala was paid by the WBAI. It was not.

Susan asked about the equipment that is being bought currently? (see earlier in the notes)

Don: paid for by a grant by an anonymous donor through national.

Don informed the meeting that he has emailed Robert Knight notification to report to work or face a two week suspension.

Susan asked how the folio was going to be paid for.

Don: 4 pages is in the budget; the folio will expand to 8 if we do well.

Susan asked how the relationship with the Brecht Forum vis a vis the folio is working out?

Don said it was cost effective for WBAI because the printing costs are comparatively low.

Susan: Was your nephew paid?

Don: No relative of mine has worked here.

Susan: There has not been an accounting of the 9/11 event at Riverside Church. Why not?

Don: The 9/11 event planning and execution turned out to be problematic. The station put out \$12-13,000. The intention was for premiums to pay back the cost.

Susan asked what the income was for the event? It didn't make sense to her that 3 days of events with hundreds of people per day at \$15 per person didn't bring in enough to cover the costs. Where did the money go?

Don: "I've asked the same question."

Sandy: Was money taken in cash?

Don: How much cash we collected: we don't know.

Notes from meeting written by Susan

PS I forgot to say that I'd asked Dan Coughlin about releasing the line item income and expense statements. After a conversation with him, I could only conclude that the answer was in the negative. As far as I know, no such numbers have been released about WBAI. I suggested to Sandy before the budget committee meeting that USOC make a formal request of the Executive Director to make these numbers available.

## Appendix – item #2

### 3-04-04 WBAI LSB Meeting Executive Session Minutes

WBAI LSB Meeting

3-04-04 Executive Session

Following Regular Meeting

75 Varick Street, NYC

[NOTE: A motion passed on November 30, 2004 ?to lift secrecy from executive session of March 4th?.

The vote was 11-8.]

Chair and Secretary Present

All board members present constituting a quorum:

Paul Surovell, Steve Brown, Luanne Pennesi, Carolyn Birden, Berthold Reimers, Miguel Maldonado, Alex Steinberg, Paul DeRienzo, Mariana Gaston, Cerene Roberts, Zara Khan, Bob Lederer, Michael Warren, Lawrence Lucas, Ray Laforest, Tiokasin Ghosthorse, Roger Manning, Shawn Rhodes, Patty Heffley, R. Paul Martin, Alice Shields, Bob Owens.

Also present: Don Rojas , Station Manager Ex-officio (non-voting)

Absent: Kathy Goldman,

[Note from approved minutes of 3-04-04:]

Moved into Executive session (to discuss personnel related issue).

In executive session, a member of the LSB advised the board that he sent a letter regarding the matter to the National Board of Directors.

-end

Paul Surovell the treasurer distributed a financial report regarding the 9-11 event at Riverside Church from

September 2003. All information was forwarded to the Pacifica National Board.

A discussion followed concerning money from event, was re-allocated by the Program Director.

General Manager was on leave at the time and a question as to who was the substitute or what was the arrangement was asked. The GM stated it was a ?loose cabinet of heads of departments?.

LSB Members asked questions as to income, loss and profit.

The Treasurer stated that premiums were produced from the event.

Heffley asked about proceeds amount from Saturday.

R.Paul Martin mentioned that the WBAI Budget Committee met with GM in January 2004 and Mr. Rojas stated he didn?t know where the proceeds from the event had gone.

The discussion concluded with Members suggesting that we come up w/ new structures and the Treasurer stated that the board will evaluate the PD and GM to the degree we feel is important.

Meeting adjourned. 10:45 PM ###

Minutes approved on 11-30-04

**REPORT TO WBAI LOCAL STATION BOARD**

on Money Raised at 9/11-Riverside Church Conference

Paul Surovell, LSB Treasurer

March 4, 2004

**CONFIDENTIAL**

**Declassified!**

**To:** Bernard White, Program Director, WBAI  
**From:** Paul Surovell, Treasurer, WBAI Station Board  
**Date:** March 4, 2004  
**Subject:** Cash from 911-Riverside Event

CONFIDENTIAL

**Declassified!**

Shortly after my election as Treasurer of the WBAI Station Board, a number of Board members, listeners and staff have asked what happened to the cash collected by WBAI at the 911-Riverside event in September 2003.

The attached memoranda (2 by you and one by Promila Dixit) shed considerable light on the matter.

Pursuant to the attached memoranda:

(1) Please confirm whether WBAI has been reimbursed for the \$2,205 cash you gave Ms. Collette, as stated in your memos.

(2) Please submit the following individual receipts as indicated in your Budget memo:

Space Rental, Security Guard, (2) Clergy, Organist, Transportation, ARC Choir, Printing

(3) Please indicate who heads the James V. Montgomery Fund, as well as the Fund's address, and how much it has raised for WBAI, to date.

I would be very grateful for a response by March 18, 2004.

Sincerely,



Paul Surovell

Paul Surovell's address,  
telephone number and E-  
mail address have been  
redacted.

cc: Don Rojas  
Berthold Reimers  
Dan Coughlin  
Lonnie Hicks  
Secretary, Pacifica National Board

To: Don Rojas, Station Manager  
From: Bernard White, Program Director  
Date: September 17, 2003  
Subject: Memorial Service for Rev. James V. Montgomery

  
**Declassified!**

Over the past few weeks we have been involved in a variety of different projects. We have had extremely good success in all of our recent outreach endeavors. Many listeners have attended our programs and all of them have walked away with a sense that they were involved in a good experience. However, every now and then something falls through the cracks. This is what happened to our planning for the memorial. I met with Mrs. Montgomery last week and planned out the program. I was then supposed to make the appropriate arrangements so that checks could be issued on Tuesday, September 16, 2003. I did not do that. The process was being held up due to my lack of follow through.

Therefore, in order to expedite the payment of costs incurred for the memorial I gave Mrs. Montgomery cash from the proceeds of 911. Ms. Montgomery will get receipts from each individual who receives cash. **The Survivor Fund for Families of Homicide Victims will reimburse us for all of the expenses except food.** A cart will be made to inform our listeners as to when and where the memorial will be held and that they may make donations out to the Rev. James Montgomery Memorial Fund.

I have not taken any vacation time, so I plan to take off on Thursday, Friday and Monday of this week. I will be back on Tuesday of next week.

I desperately need the rest.

cc: **Indra Hardat**, Business Manager/Fiscal Officer



120 Wall Street, 10th Floor, New York, NY 10005 (212)209-2800 Fax (212)747-1698

To: Don Rojas, Station Manager  
 From: Bernard White, Program Director  
 Date: September 17, 2003  
 Subject: Rev. James V. Montgomery Memorial Service

~~CONFIDENTIAL~~  
 Declassified!

## BUDGET

Space Rental (Union Theological Seminary)	4 hours @ \$575-----	\$575.00
Security Guard	4 hours @ \$20 per hr.-----	\$ 80.00
Clergy 2 @ \$300.00	-----	\$600.00
Organist	-----	\$300.00
Transportation (New Haven Connecticut to NY)	3 @ \$25.00 -----	\$ 75.00
ARC Choir	-----	\$200.00
Printing	-----	\$ 75.00
Food	-----	<u>\$300.00</u>
	<b>Total</b>	<b>\$2205.00</b>

This is the budget for Rev. James V. Montgomery's memorial. The memorial is going to take place on September 19, 2003. WBAI has agreed to assist the family in putting this service together. All of the projected costs except for the cost of food are reimbursable. The Survivor Fund for Families of Homicide Victim's will cover all other costs. To facilitate this matter, I have deducted for the proceeds of this weekends 911 forum an amount that covers the cost of the memorial which will all be reimbursed within a two or three week period. Individual receipts will be submitted to WBAI following the services.

We are also asking our listeners to send donations to the *James V. Montgomery Memorial Fund*. The checks will be sent to WBAI.

This document also serves as a receipt.

The total received is \$2205.00

*JANINE L. COLLETTE (Common Law wife of JAMES V. MONTGOMERY)*  
*[Signature]* 9/18/03  
 Signature & Date



**REPORT ON MONEY REMITTED TO WBAI FROM TICKETS SOLD AT THE DOOR & BOOK SALES at the REFRAMING 911- ALTERNATIVES TO ENDLESS WAR FORUM 2003**

**FRIDAY SEPT 12/ 2003, Handed by Ursula to Promila Dixit**

2 \$50 Bills.....	\$ 100.00
66 \$20 Bills.....	\$ 1320.00
11 \$10 Bills.....	\$ 110.00
2 \$5 Bills.....	\$ 10.00
2 \$1 Bills.....	\$ 2.00
<b>TOTAL.....</b>	<b>\$ 1542.00</b>

1 \$40 Check.....	\$ 40.00
1 \$25 Check.....	\$ 25.00
1 \$15 Check.....	\$ 15.00
2 \$10 Checks.....	\$20.00
<b>TOTAL.....</b>	<b>\$ 120.00</b>

**GRAND TOTAL.....\$1542 + \$120.....= \$1662.00**

**SUNDAY SEPT 14/ 2003, Handed by Bruce to Promila Dixit**

1 \$50 Bill.....	\$ 50.00
14 \$20 Bills.....	\$280.00
6 \$5 Bills.....	\$ 30.00
18 \$1 Bills.....	\$ 18.00
<b>TOTAL.....</b>	<b>\$ 378.00</b>

1 \$15 Check.....	\$ 15.00
1 \$5 Check.....	\$ 5.00
1 Credit Card Payment.....	\$ 50.00
<b>TOTAL.....</b>	<b>\$ 80.00</b>

**EXPENSE: TAXI..returning from forum w/ WBAI materials.....- \$ 45.00**

**GRAND TOTAL..... \$378 + \$80 - \$45.....= \$413.00**

**PROCEEDS FROM DR. ARJUN MAKHIJANI'S BOOK SALES DONATED TO WBAI**

**Spent on Food for Volunteers and Guests.....- \$ 87.00**

9 \$20 Bills.....	\$ 180.00
1 \$10 Bills.....	\$ 10.00
6 \$5 Bills.....	\$ 30.00
21 \$1 Bills.....	\$ 21.00
<b>TOTAL .....</b>	<b>\$ 241.00</b>

**Money returned by Speaker Mike Ferner (found cheaper ticket) ..\$ 25.00**

**GRAND TOTAL (all monies submitted to Burnard White's office) \$2341.00**

**PLEDGE SLIPS for PLEDGES MADE ON SEPTEMBER 14 :**

1 \$15 Pledge.....	\$ 15.00
1 \$12 Pledge.....	\$ 12.00
7 \$10 Pledges.....	\$ 70.00
1 \$7 Pledge.....	\$ 7.00
3 \$5 Pledges.....	\$ 15.00
<b>TOTAL PLEDGES.....</b>	<b>\$ 119.00</b>

**GRAND TOTAL (cash, checks, cc, pledges) \$ 2341.00 + \$119.00...= \$2460.00**

**REPORT MADE BY PROMILA DIXIT AINT THAT GOOD NEWS, September 16, 2003**

**CONFIDENTIAL**  
**Declassified!**

*-45- for cab = \$333 recd.*

*+ 25 = \$266*

~~CONFIDENTIAL~~  
Declassified!

**Date:** March 11, 2004

**To:** WBAI Local Station Board Members, Don Rojas, Dan Coughlin, Lonnie Hicks,  
Pacifica National Board Secretary, Bernard White

**From:** Paul Surovell, Treasurer, WBAI Local Station Board

**Subject:** Response to Memo of March 4, 2004

On March 5, 2004, I met with Bernard White and his assistant Shirely Irons. I gave Bernard my memorandum of March 4, 2004: "Cash from 911-Riverside Event," which was presented to the WBAI Local Station Board in executive session on March 3, 2004.

Upon reading the memorandum, Bernard asked Shirley to copy the enclosed documents and to provide them to me.

With regard to question #1 of my March 3, 2004 memorandum on whether reimbursement has been received, there are several documents, including an application for reimbursement filed by Pacifica-WBAI with the New York State Crime Victims Board, signed by Bernard on October 6, 2003.

A letter from Bernard to Ms. O. Camacho of the New York State Crime Victims Board on January 26, 2004, indicates that the application for reimbursement is under consideration.

I have enclosed the home page of the New York State Crime Victims Board website which discusses its purpose and some of its reimbursement practices.

With regard to question #2, copies of receipts and/or invoices for all of the memorial services listed in Bernard's September 16, 2003 memos, are enclosed. These receipts and invoices appear to have also been submitted to the New York State Crime Victims Board, per the letters of Rev. Montgomery's widow Janine Collette to Bernard (10-06-03) and to the Crime Victims Board (10-03-03).

With regard to question #3, in a telephone conversation on March 8, 2004, Shirley Irons told me that she had called Janine Collette (I believe she left a phone message) asking her to call me about whether the "James V. Montgomery Fund" was ever established, and if so, whether it raised money for WBAI, per Bernard's memo of September 17, 2003.

As of this writing (6 pm March 11), Ms. Collette has not called me.

Respectfully submitted,

*Paul Surovell*

*Joe for updating domain*

Paul Surovell  
Paul Surovell's address,  
phone number and E-  
mail address have been  
redacted.

~~CONFIDENTIAL~~  
Declassified!

## Appendix – item #9

my loss less the amount of any award I might receive.

I AGREE that the Attorney General of the State may intervene in any such action on behalf of the State for the purpose of recovering the total amount of Board awards, and that I may join in any action brought by the Attorney General. I agree to sign and deliver all documents and do all things necessary to further any cause of action so assigned to the State. I also agree that I will sign no release in settlement of any lawsuit related to the crime without the express written consent of the Board.

**Notice: Filing a false claim is a crime. Claim Application must be signed and dated.**

Claimant's Signature	Date	Daytime area code / telephone
BERNARD WHITE, PROGRAM DIRECTOR <i>Bernard White</i>	10/20/03	212- 209-2835

CVBMIS-CL01

Rev 12/01

<b>Filing a false claim is a CRIME. Your application MUST be signed.</b>
Please read the following instructions carefully. It is important that you complete the requested information on the other side of this form as accurately as possible. Refer to the claim brochure for a description of eligibility and when compensation may be awarded. Any incomplete or inaccurate information will delay the processing of your application for compensation benefits.
<b>The Crime Victim Section MUST be completed with the information about the CRIME VICTIM.</b>
<b>Name</b> - Enter the full legal name: last, first and middle initial.
<b>Date of Birth</b> - Enter the correct birth date of the victim using numbers. (Example: 10 / 01 / 1933)
<b>Social security number</b> - Enter the correct social security number for the victim. For persons with no social security number enter 777-77-7777.
<b>Address</b> - Enter the complete address for letters including the complete name of the city or town and zip code.
<b>County of residence or foreign country</b> - If you are a resident of the United States enter your correct county of residence. If you reside outside the United States, enter your country of residence.
<b>State</b> - Enter the correct two letter abbreviation.
<b>Gender / Race</b> - These questions are collected for statistical purposes only. Please check one box in each area.
<b>Disabled</b> - Please answer based on your disability status at the time the crime occurred, not as a result of the crime, or at any time after the crime.
<b>How did you hear about this program</b> - Please check one box.
<b>V.A.P. CVB ID</b> - If you are being helped by a Victim Assistance Program they will supply their Identification Number, otherwise leave this area blank.
<b>The Claimant section is completed ONLY when you are NOT the Crime Victim.</b>
<b>You must complete this section when filing for an eligible minor, or when the victim is deceased. Please complete your full legal name, social security number, date of birth and address as instructed above for the Crime Victim.</b>
<b>Relationship to victim</b> - Check only one box.
<b>The Crime Data section MUST always be completed.</b>
<b>Result of crime</b> - Check one box.
<b>Date of crime</b> - Enter the correct date of crime using numbers. (Example: 10 / 01 / 1996)
<b>Police agency or precinct where crime was reported</b> - Enter the correct police agency, department or precinct name.
<b>Police complaint or UFG1 number</b> - Enter the correct police complaint, incident or UFG1 number. If this number was not provided to you, it may be obtained from the police agency who responded to the crime.
<b>Date crime reported to police</b> - Enter the date the crime was reported to the police. (Example: 10 / 01 / 1996)
<b>County where crime occurred</b> - Enter the correct county name where the crime took place.
<b>Name of alleged perpetrator (if known)</b> - Enter any known information of alleged perpetrator's name(s).
<b>Brief description of crime</b> - Describe what was reported to the police.
<b>Domestic Violence</b> - Check one box.
<b>Type of crime</b> - Check only one box to categorize the crime.
<b>Crime location</b> - Check one box to categorize the location where the crime was committed.
<b>Indicate whether essential personal property was lost, damaged or destroyed</b> - Examples of essential personal property include cash, eyeglasses, medications and clothing necessary for the health, welfare and well-being of the victim.
<b>Item description and cost</b> - Enter a brief description of the item along with the estimated cost of replacement at the time the crime occurred, or a receipt if the item has already been replaced. Include an attachment for additional items if

Declassified!





facsimile  
TRANSMITTAL

*Omayra*  
*Attorney Camacho*  
*N.Y.C. State Crime Victim Board*  
*718 923 4343*  
*459195759*

Name: SHIRLEY IRONS  
Fax: (212) 747-1698  
Phone: (212) 209-2834  
From: JANINE L. COLLETTE  
Date: October 6, 2003  
Subject: Rev. Dr. James V. Montgomery, Esq. - Memorial Service  
Pages: (including cover) 10

Comments: Attached are supporting documents for your file on the above-referenced subject matter.

Thanks.

*1/4/04: Letter that WBAI provided money with receipts.*  
*Supervisor: Lucy Robles.*

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**Declassified!**

  
**Declassified!**

Janine L. Collette  
69 West 131<sup>st</sup> Street #2D  
New York, New York 10037  
(212) 281-8451

October 6, 2003

To: Bernard White, Program Director  
WBAI 99.5 FM Pacifica Radio  
120 Wall Street, 10<sup>th</sup> Floor  
New York, New York 10005

From: Janine L. Collette, b/o Rev. Dr. James V. Montgomery, Esq.

**Re: Memorial Service - Reconciliation of Accounts**


Dear Bernard:

Please find enclosed copies of the supporting documents I recently submitted to the State of New York for reimbursement of expenses pertaining to the memorial service of Rev. Dr. James V. Montgomery, Esq., held on September 19, 2003. Please maintain these records for your file on the referenced subject matter.

The reconciliation of this account includes changes whereby *WBAI* will be compensated for \$2,125.00 on an agreement of \$2,205.00. I will be in contact with the State this week for any further instructions such as, whether *WBAI* will be required to complete a claim form and affidavit. If this is required, you will be provided instructions for same. You will not be required to submit any further documentation since the supporting documents have been sent (see enclosures).

Thanks again for your assistance and condolences, and I remain;

Very Truly Yours,

  
Janine L. Collette

encls.: Cover letter to NYS  
WBAI agreement  
Space rental/security agreement  
Invoice of clergy and copy of payment  
Media services agreement

  
**Declassified!**

Janine L. Collette  
69 West 131<sup>st</sup> Street #2D  
New York, New York 10037  
(212) 281-8451

October 3, 2003

New York State Crime Victims Board  
845 Central Avenue  
Albany, New York 12206-1588

re: Category II - Compensation Claim

Attention Board:

The attached documents pertain to category


II. ASSISTANCE WITH MONTGOMERY'S MEMORIAL SERVICE

1. Printed copy of Memorial Service Program for Montgomery

2. Agreement with *WBAI 99.5 FM Pacifica Radio Foundation* to provide cash for payment of all services for the Memorial Service of Montgomery:

- |   |                   |
|---|-------------------|
| 1) Copy of agreement/invoice for space rental and security and receipt for payment                    | \$ 625.00         |
| 2) Copy of invoice from clergy and receipt of money orders paid for same and receipt for money orders | \$1,250.00        |
| 3) Copy of invoice for media services provided for Memorial Service                                   | <u>\$ 250.00</u>  |
|   | <b>\$2,125.00</b> |

Thank you.

Janine L. Collette 





120 Wall Street, 10th Floor, New York, NY 10005 (212)209-2800 Fax (212)747-1698

To: Don Rojas, Station Manager  
 From: Bernard White, Program Director  
 Date: September 17, 2003  
 Subject: Rev. James V. Montgomery Memorial Service

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**Declassified!**

## BUDGET

Space Rental (Union Theological Seminary)	4 hours @ \$575-----	\$575.00
Security Guard	4 hours @ \$20 per hr.-----	\$ 80.00
Clergy 2@ \$300.00	-----	\$600.00
Organist	-----	\$300.00
Transportation (New Haven Connecticut to NY)	3 @ \$25.00 -----	\$ 75.00
ARC Choir	-----	\$200.00
Printing	-----	\$ 75.00
* MEDIA SERVICES	-----	\$ 250.00
Food	-----	50.00
		<u>\$300.00</u>
* SEE ATTACHED INVOICE		
	<b>Total</b>	<b>\$2205.00</b>

gr 9/18/03  
gr 9/19/03

This is the budget for Rev. James V. Montgomery's memorial. The memorial is going to take place on September 19, 2003. WBAI has agreed to assist the family in putting this service together. All of the projected costs except for the cost of food are reimbursable. The Survivor Fund for Families of Homicide Victim's will cover all other costs. To facilitate this matter, I have deducted for the proceeds of this weekends 911 forum an amount that covers the cost of the memorial which will all be reimbursed within a two or three week period. Individual receipts will be submitted to WBAI following the services.

We are also asking our listeners to send donations to the *James V. Montgomery Memorial Fund*. The checks will be sent to WBAI.

This document also serves as a receipt.

The total received is \$2205.00

*JANINE L. COLLETTE (common law wife of JAMES V. MONTGOMERY)*  
*[Signature]* 9/18/03  
 Signature & Date

08/11/2003 05:25 2129611919

REVDR JAMES MONTESQ

PAGE 05

SEP. 19. 2003 1:45AM

NO. 964 P. 1/2

UNION THEOLOGICAL SEMINARY • 3041 BROADWAY AT REINHOLD NIEBUHR PLACE • NEW YORK, NEW YORK 10027 • 212/662-7100  
FAX 212/280-1416

## SPACE RENTAL AGREEMENT / INVOICE

Date: September 16, 2003		<b>DATE(S) OF ROOM RESERVATION:</b> September 19, 2003	
Contact Person(s): Janine Collette		Telephone: 212-281-8451 Fax: 212-281-8451	
Organization:		Address: 69 West 131 <sup>st</sup> Street # 2D New York, NY 10037	
Type of Function:	Memorial Service	# of Guests:	100
Space Reserved	Date	Time	Rate
James Chapel	September 19, 2003	6-10pm	\$545.00
Total Room Fees:			\$545.00

## ADDITIONAL SERVICES

Audio / Visual Equipment (billed separately), contact: Bill Winer, @ (212)-280-1557:	
Guest Rooms (billed separately) contact: Landmark Guest Rooms @ (212) 280-1313: N/A	
Catering (billed separately) contact: Mr. Tom Wells of SHOWSTOPPERS @ (212) 280-1345: REQUIRED	
Security Attendant (\$15.50 per hour):	4 x \$20 = \$80
Set-up/Clean-up fee:	Included

UNION

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08/11/2003 05:25 2129511919  
SEP. 19. 2003 1:46AM

REVDR JAMES MONTESQ

NO. 964 PAGE 86  
P. 2/2

## SUMMARY

Date Space(s) reserved: September 19, 2003	Expected Guests: 100
Space(s) Reserved: James Chapel	Space Rental Fees: \$545.00
ENTRANCE ADDRESS: 3041 Broadway, at 121 <sup>st</sup> Street, New York, NY 10027	
REFUNDABLE SECURITY DEPOSIT REQUIRED TO CONFIRM RENTAL (This deposit is not part of the space rental fees and will be returned after the event)	
waived	

ALL RENTAL FEES ARE DUE:  
UPON RECEIPT

Rental Fees:	\$545.00
Security Fee:	\$80.00
Set-up/Clean-up fee:	N/A
GRAND TOTAL:	\$625.00

- I, the undersigned, do hereby accept responsibility for the actions of the members of the above named group and/or individual and agree to guarantee all fees, charges, and other levies incurred by the above named group and/or individual
- I agree to hold Union Theological Seminary unaccountable for all claims made by members of the above group/individual and by third parties for actions or damages incurred in connection with the above stated event. In addition, I agree to adhere to the above stated hours (12:00a.m. music curfew); not to exceed the stated room capacity; keep aisles, exits or other means of egress free and unblocked; to preserve the sanctity of religious spaces and to maintain the area in good and clean condition.
- I understand that the required deposit fee will be forfeited if compliance with these stipulations is not observed; that the area being used will be under surveillance of a security attendant and that a guest list will be submitted prior to the event. *see attached*
- There will be no identification of representation of the Seminary through the use of the Seminary's name, photographs, publicity or other means for any purpose except to identify location
- Misrepresentation of any information given on this application will result in cancellation of the reservation prior to the event and/or retainage of the deposit following the event if any of the provisions are, in the Seminary's sole opinion, compromised or violated.
- I agree to supply a certificate of insurance liability as required. *see attached*

Please sign and return contract to: Michael E. Orzechowski  
Union Theological Seminary  
3041 Broadway, @ 121st Street  
New York, NY 10027

*Michael E. Orzechowski*  
\_\_\_\_\_  
Michael E. Orzechowski  
Union Theological Seminary

9/18/03  
\_\_\_\_\_  
Date

*Janine Collette*  
\_\_\_\_\_  
Janine Collette

9/18/03  
\_\_\_\_\_  
Date

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**Declassified!**

Reverend Cleaven Johnson, Jr.  
697 Winchester Avenue  
New Haven, Connecticut 06511  
(203) 865-7559

September 16, 2003

**INVOICE**

To: Janine L. Collette  
on behalf of the deceased, Rev. Dr. James V. Montgomery, Esq.  
69 West 131<sup>st</sup> Street #2D  
New York, NY 10037

For: Services for the Memorial Service of  
Reverend Dr. James V. Montgomery, Esq.  
Friday, September 19, 2003  
Union Theological Seminary  
The James Chapel  
3041 West Broadway  
New York, New York 10027

Services: I. (3) personnel - \$900.00  
Master of Ceremonies, Rev. Patricia Quinones  
Administrator/Sermon, Rev. Cleaven Johnson, Jr.  
Organist, Chris Martarino  
II. Choir - \$200.00  
Addict Rehabilitation Choir  
III. Printing of Program - \$75.00  
75 copies, 4 page, 2 color, plus 4 page insert, 8 ½ x 11, photograph  
IV. Transportation of (3) personnel - \$75.00  
Round Trip between New Haven, CT and New York, NY

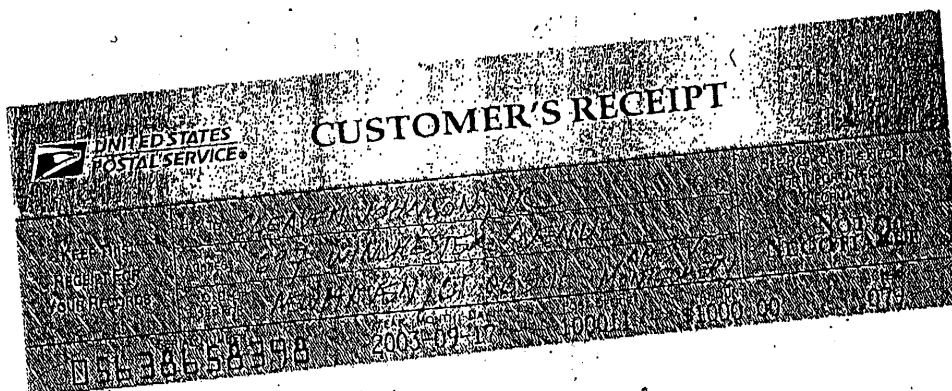
**Total Due: \$1,250.00**

Payable to: Reverend Cleaven Johnson, Jr.  
S.S.#: 043-54-2177

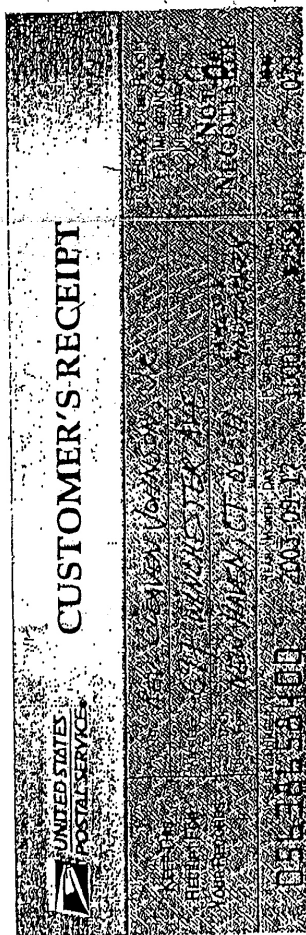
- Payable Upon Receipt -

*JR* Pd: 1,000.00 (MO-#05638658398) 9.17.03  
250.00 (MO-#05638658400) 9.17.03  
250.00  
X 6,250.00

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MEDIA SERVICES  
 BILL WINER, MULTI MEDIA SPECIALIST  
 Union Theological Seminary  
 3041 Broadway, West Cloister Annex, New York, NY 10027  
 212-280-1464.  
 212-280-1469 fax

Date 9/19/03

Event:

Date 9/19/03

Room James Chapel

Time Start 6 PM

Time End 10 PM

Invoice for services to:

Name JANINE L. COLLETTE

Address 69 W. 131<sup>st</sup> ST. #2D

City/State/Zip NY/NY/10037

Phone/Fax # 212-281-8451

E-mail RE: MEMORIAL SERVICE FOR

REV. DR. JAMES V. MONTGOMERY, ESQ.

Charges for Non-seminary functions:

\* Media Technician Services:

PA operator	\$100/hour	<u>250</u>
Video/Audio Recording	\$100/hour	
(Master tape, @ \$ 5/tape		
Projection operator	\$100/hour	
Set-up and Breakdown	\$100/hour (w/o Tech.)	

Total Now Due:

250

\* Tech. Operator: 2 hr. min., ½ day @ \$350, full day 8 hrs @ \$550.

Tech. Services are to be directly paid to William Winer, not UTS. However just like with equipment charges, Payment is due before the event.

*JP*  
*Pd cash*  
*9.19.03*

## NYS Crime Victims Board Claim Application

Page 1

Read the instructions carefully before you complete the application

Please print. Incomplete or inaccurate information will delay processing

ID	Claim Number
ID	474906

Crime Victim Section (complete all questions)				
Last Name <b>MONTGOMERY</b>	First Name <b>JAMES</b>	MI <b>V</b>	Social Security Number <b>325-38-2147</b>	Date of Birth <b>6/12/15</b>
Apartment number Address Number or PO Box Street <b>69 WEST 131<sup>ST</sup> STREET #2D</b>				
City or Town <b>NEW YORK</b>		NYS County of Residence or Foreign Country <b>NEW YORK</b>		State Zip Code <b>NEW YORK 10037</b>
Check the boxes that apply to the Crime Victim				
Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	Race/Ethnicity (collected for statistical purposes only) <input type="checkbox"/> White (W) <input checked="" type="checkbox"/> Black (B) <input type="checkbox"/> Asian/Pacific Islander (A) <input type="checkbox"/> Hispanic (H) <input type="checkbox"/> American Indian/Alaskan Native (I) <input type="checkbox"/> Other (O) <input type="checkbox"/> Unknown (U)		Disabled <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unknown	V.A.P. CVB ID <b>061</b>
How did you first hear about the Crime Victims Compensation Program? <input type="checkbox"/> Police (P) <input type="checkbox"/> Hospital (H) <input type="checkbox"/> District Attorney (D) <input type="checkbox"/> Radio/TV (R) <input checked="" type="checkbox"/> Victim Assistance Program (V) <input type="checkbox"/> Brochures/Posters (B) <input type="checkbox"/> Other (O)			Marital Status <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Separated <input type="checkbox"/> Widowed <input checked="" type="checkbox"/> Living Together	

Claimant Section (complete only if someone different than Crime Victim is filing this claim)				
Last Name <b>CORPORATE NAME</b>	First Name <b>CORPORATE NAME</b>	MI <b>---</b>	Social Security Number <b>CORPORATE I.D.#</b>	Date of Birth <b>126087</b>
Apartment number Address Number or PO Box Street <b>120 WALL STREET 10<sup>TH</sup> FLOOR</b>				
City or Town <b>NEW YORK</b>		NYS County of Residence or Foreign Country <b>NEW YORK</b>		State Zip Code <b>NEW YORK 10005</b>
What is your relationship to the victim? (Check only one box) <input type="checkbox"/> Attorney (A) <input type="checkbox"/> Relative (R) - Specify: <input type="checkbox"/> Parent (P) <input type="checkbox"/> Spouse (s) <input type="checkbox"/> Child (C) <input type="checkbox"/> Guardian (G) <input checked="" type="checkbox"/> Other (O) - Specify: <b>CONTRACTOR</b>				

Crime Section (complete all questions)			
Which of the following was the result of the crime? (check all that apply)			
<input type="checkbox"/> Personal Injury	<input checked="" type="checkbox"/> Death	<input type="checkbox"/> Loss of Essential Personal Property	
Date of Crime <b>8/12/03</b>	Police agency or precinct where crime was reported <b>32<sup>ND</sup></b>	Police complaint or UF 61 number <b>65540</b>	
Date reported to police <b>8/12/03</b>	County where crime occurred <b>NEW YORK</b>	Name of alleged perpetrator (if known) <b>MARVIN FLEMING</b>	
Brief description of crime <b>PLEASE SEE CRIMINAL COURT COMPLAINT</b>			
Was the victim operating a livery cab at the time of the crime? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unknown			
Domestic Violence (check one box) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Unknown			
Please indicate the type of crime (check one box)			
<input type="checkbox"/> Assault (1) <input checked="" type="checkbox"/> Homicide (2) <input type="checkbox"/> Sexual Assault (3) <input type="checkbox"/> Child Sexual Abuse (4) <input type="checkbox"/> Child Physical Abuse (5) <input type="checkbox"/> Burglary/Robbery (10) <input type="checkbox"/> Motor Vehicle (DWI/DUI) (7) <input type="checkbox"/> Motor Vehicle (Other) (9) <input type="checkbox"/> Arson (11) <input type="checkbox"/> Stalking (12) <input type="checkbox"/> Kidnapping (13) <input type="checkbox"/> Terrorism (14) <input type="checkbox"/> Other (8)			
Crime Location (check only one)			
<input type="checkbox"/> Owned Residence (1) <input type="checkbox"/> Apartment Bldg (2) <input checked="" type="checkbox"/> Public Street (3) <input type="checkbox"/> Subway/Bus (4) <input type="checkbox"/> Place of Work (5) <input type="checkbox"/> School/School grounds (6) <input type="checkbox"/> Restaurant/Bar (7) <input type="checkbox"/> Shopping Mall (8) <input type="checkbox"/> Parking Lot (9) <input type="checkbox"/> Other (please note in crime description) (0)			
Did the victim have essential personal property lost, damaged or destroyed as a result of the above crime? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes			
If yes, complete below regarding loss due to the above crime and insurance coverage. Cash loss award maximum is \$100.00			
Item description	Cost	Homeowner / Renter Ins Company	Policy Number / Deductible
		Auto / Other Insurance Company	Policy Number / Deductible

On-behalf-of Claimant Section (For death claim or if filing for minor or incompetent dependent of victim)				
Last Name	First Name	MI	Social Security Number	Date of Birth
				<b>1/1</b>

Attorney Section (complete only if attorney retained for this incident)	
Attorney or Law Office Name	Area code Telephone Number

Declassified!



## **ANNOUNCING:**

A Tribute to  
***Reverend Dr. James V. Montgomery, Esq.***  
(recently deceased and of Harlem, New York)

please join us on  
**Friday, September 19, 2003**  
**7:00 P.M.**

Union Theological Seminary (at Columbia University)  
The James Chapel  
3041 Broadway @ 121<sup>st</sup> Street  
New York, New York 10027  
(212) 662-7100

Subway Route: Local 1 or 9 @ 116<sup>th</sup> Street  
Bus Route: M4, M60, M104 @ 116<sup>th</sup> Street

***Reverend Patricia Quinous*** (New Haven, CT), Master of Ceremonies  
***Reverend Cleavan Johnson, Jr.*** (Yale Divinity School)



***Sponsored by WBAI 99.5 FM in New York City (Pacifica Radio)***  
**Peace and Justice Community Radio Station**

**Join Us for a Celebration at the West End Restaurant & Bar**  
**following the Memorial Service**



120 Wall Street, 10th Floor, New York, NY 10005 (212)209-2800 Fax (212)747-1698

January 26, 2004

Ms. O Camacho  
Crime Victim Board  
55 Hanson Place  
10<sup>th</sup> Floor  
Brooklyn, NY 11217

Claim # 459195759

Dear Ms. Camacho,

As per our conversation, I am sending you the letter relating to the loan covering the funeral service for the deceased, Rev. Dr. James Montgomery.

WBAI is a non-profit, listener sponsored radio station. Dr. James Montgomery was a volunteer producer and a regular contributor to our broadcasts. When we were informed of his tragic death and the economic challenges that his family faced, we attempted to ease their pain in their time of need.

We were led to believe that the expenses that we covered, as a part of the funeral services, would be reimbursed to us following the services. The money that we gave was a loan not a donation. The loan amount was \$2,205.00.

Thank you in advance for your consideration.

Bernard White

Program Director.



CONFIDENTIAL -- CONFIDENTIAL -- CONFIDENTIAL



**Declassified!**

Luanne,

MY ANSWERS ARE INTERSPERSED IN CAPS:

In a message dated 12/13/2004 8:27:54 AM Eastern Standard Time,  
whnn@aol.com writes:

Paul,

Did we ever get any of the receipts/invoices for these exepenses?

THE INVOICES FOR FOOD ARE AS FOLLOWS:

Madeline's Catering

58-60 W 39 St

New York NY 10018

AMT: \$2,750 (Friday nite, Sat morn, Sat noon,  
Sat afternoon)

Mangia Restaurant

50 W 57th St

New York NY 10019

AMT: \$1853.25 (Sunday morn, noon)

THE FILE INDICATES PAYMENTS FOR AUDIO-VIDEO-TAPING AND EDITING  
FOR

THE SOUND GATHERERS (Melvin Simmons)

116-07 205 ST

ST ALBANS NY 11412 AMT: \$1,200.00

NEIL MONDESIR

AMT \$480.00

Was there ever an outcome as to the decision by Bernard to re-allocate the  
listener's money without discussion with anyone else?

THIS MATTER WAS SUBMITTED TO THE PNB. I HAVE NOT BEEN INFORMED  
OF ANY "OUTCOME" FROM THE PNB.

Did the station ever create a policy with regards to preventing personal manipulation  
of listener funds without knowledge/consent of the listeners?

A POLICY WAS ESTABLISHED BY THE CFO THAT COLLECTION OF MONEYS  
AT FUND-RAISING EVENTS BE SUPERVISED BY THE DEVELOPMENT  
DIRECTOR DENISE HAYNES. SUBSEQUENTLY, DON ROJAS APPOINTED

Appendix – item #23b

INDRA HARDAT AND YVONNE SINGH TO TAKE OVER THIS RESPONSIBILITY  
AFTER DENISE HAYNES'S DEPARTURE.

Was Don or Bernard ever held accountable for this blunder on the national level?

I AM NOT AWARE OF ANY SUCH DETERMINATIONS OR  
CHARACTERIZATIONS BY THE PNB.

We never heard back about it since our executive session months ago.  
The listeners who I represent want to know this information.  
Thank you.

Luanne

In a message dated 11/20/2004 3:22:51 PM Eastern Standard Time,  
paul4sure@aol.com writes:

The situation on the 911 Riverside Conference, as I informed R. Paul Martin at the  
LSB meeting held at 1707, is as follows:

The WBAI Finance Department file on the event -- which is the source for the  
report which I posted on this list in September 2004 (see below) -- includes  
invoices for the food costs, but has no supporting documents to explain the costs of  
the audio/videotaping or duplication of CDs and videos.

At the time I examined the file, the Finance Department staff was extremely busy  
and was unable to explain how they derived the numbers for their report. I told  
them I would return at a time when they weren't busy, so they could help me find  
the source documents for the reported costs of audio/videotaping and duplication.

My intention is to make an effort with the Finance Department to find all relevant  
documents and if successful, to provide them (as well as the invoices on the cost of  
the food) in executive session to the LSB members of the Finance Committee  
before my term as Treasurer expires in December 2004 -- Paul Surovell

-----

Financial Report on WBAI Fund-Raising Conference at Riverside Church:  
"Reframing 911: Alternatives to Endless War"  
held on September 12, 13 and 14, 2003.  
(source: WBAI Finance Dept)

REVENUE

Credit Card Registrations: \$2,600



## Appendix – item #23c

Check Registrations: \$360  
Cash -- Registrations and donated book sales: \$2,701  
Fund Drive Premium: Conference VHS: \$21,750  
Fund Drive Premium: Conference CD: \$13,800  
Total Conference Earnings: \$41,211  
The Conference incurred the following costs:

### EXPENSES

Duplication of Videos: \$3,888  
Duplication of CDs: \$1,330  
Speakers Travel Costs: \$3,383  
Rental for Church: \$1,500  
Food (Breakfast and Lunch): \$4,703  
Audio/Video Taping: \$5,460  
Program Printing and Flyers: \$380  
Total Conference Costs: \$20,644  
NET INCOME: \$20,567

All monies contributed before, during and after the event, have been collected and deposited in WBAI's bank account.

Paul Surovell  
Treasurer  
WBAI Local Station Board  
Paul Surovell

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sified!